

Distributed Grid Intelligence in the FREEDM System

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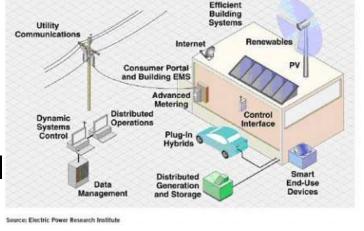
Outline

- DGI System Overview Dr. McMillin
- CoDES Dr. Chow
- Volt-Var Dr. Baran



Cyber-Enabled Smart Distribution

- Smart Grid
 - Automated Meter Reading (AMR)
 - Demand Side Management
- Centralized Supervisory Control And Data Acquisition (SCADA)
- Electric Utility Control



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fault management, security and privacy

Smart Grid Version 1

Source, Monitor Mapboard Systems



How much farther can we take this idea?



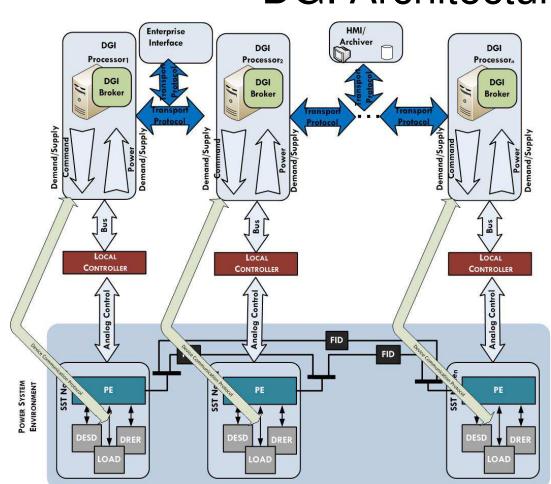
The FREEDM (Future Renewable Electric Energy Delivery and Management) Concept

- Distributed Grid
 Intelligence (DGI)
 - People share energy resources
 - Neighborhood or industrial level
 - Where is the centralized controller?
 - Peer-to-peer









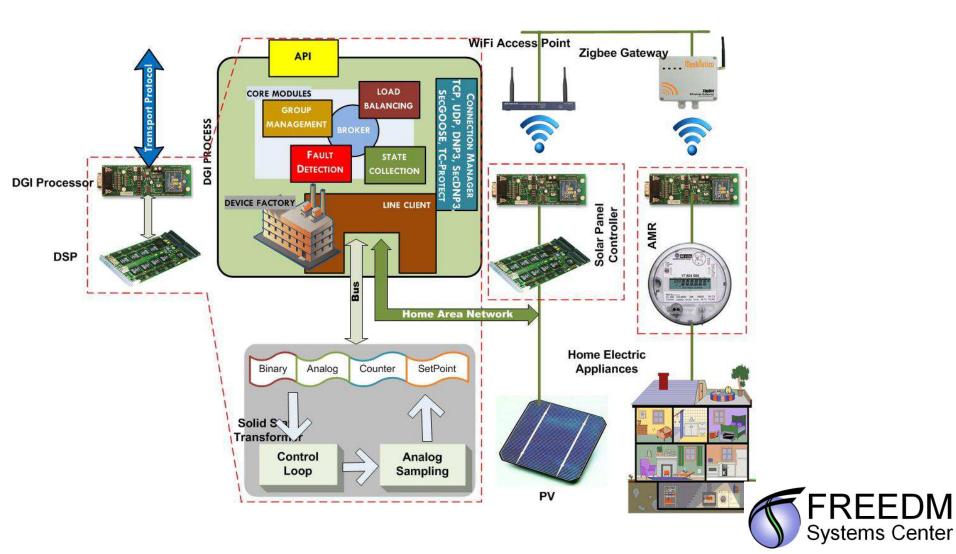
DGI Architecture

 Local Computation on embedded computers Transport Protocol i.e. TCP/IP Device/Power Electronics Communication Protocol •System State Management •Fault Interrupters •Reconfigurable

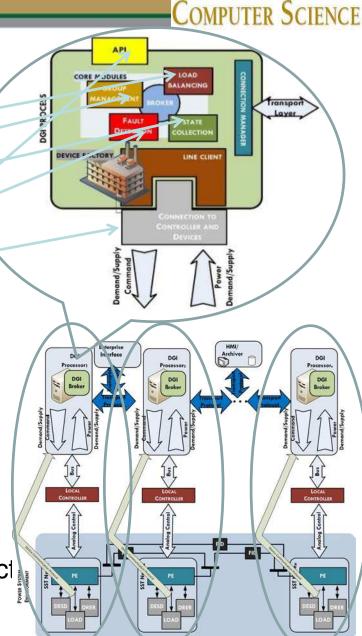


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Home Environment



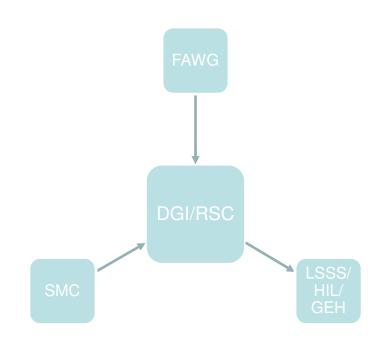
- DGI/RSC Provides FREEDM's Operating System Services
 - Power/Energy Balance (Y1)
 - Group Management (Y2)
 - State Collection (Y3-4)
 - Fault Detection & Invariants (Y5-6)
 - Plug and Play (Y5,8)
 - MQTT Integration with DGI (Y8)
 - DGI Algorithms (Y5-Y10)
- Current status
 - Integrated in HIL, Implemented in GEH
 - Replaced Interfaces with 3rd party
 - Real Time
- Limitations
 - Limited Set of Secure Management Alg
 - Lack of Center-Wide Invariants/Architect
 - Partial Integration with FID







- Secure Algorithms & Invariants
 - Develop Secure Power and Energy Management
 - Develop Secure Volt/VAR
 - Develop Secure Attestation
 - Invariants Crucial for Integration of DGI with SMC/Controls Thrust
 - Integration of MQTT into DGI
 - Integration of DGI into GEH





Technical Approach

- Develop distributed Volt/Var algorithm within DGI
- Continue with Invariants for governing system dynamics implemented in HIL
- Continue to use Invariants as attestation algorithms for security
- Implement consensusbased energy management with energy storage dispatch
- Implement Federated Groups
- Implement MQTT integrated with DGI





Schedulable Entity

....Advanced Power Electronics.... The Solid State Transformer

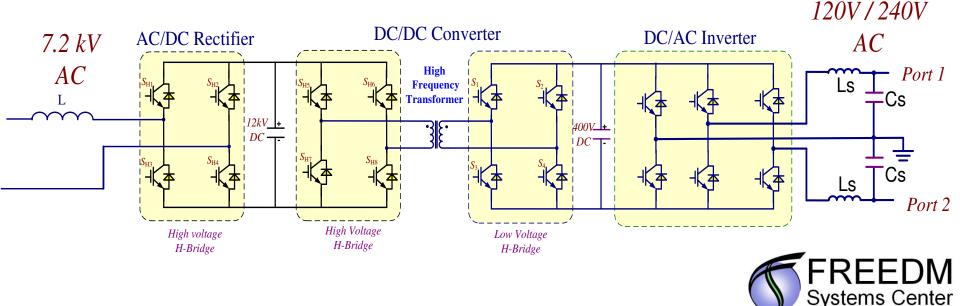




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Inside an IEM Node

- Solid State Transformer (SST)
 - Power Electronics
 - Schedulable Entity





How to use it?



Distributed Power Balancing

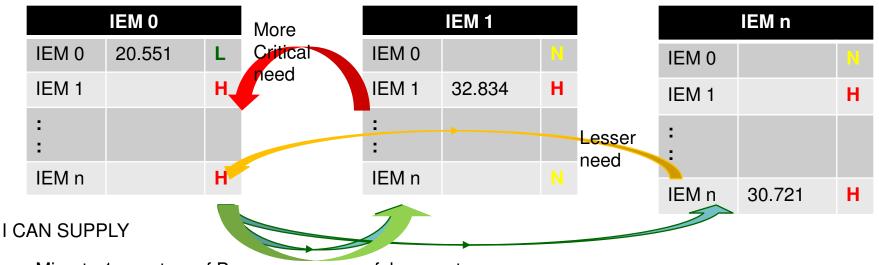
- Correctness: Keep all nodes' "balanced" in terms of Supply and Demand and minimize energy cost
- Pass messages negotiating load changes until the system has stabilized
- Global optimization decomposed into individual processes that cooperate to meet the global correctness.

$$X_{Actual} = X_{Load} - X_{DRER}$$

System Load	State	
X _{Actual} < 0	Low (Supply)	
X _{Actual} > Threshold	High (Demand)	
$0 <= X_{Actual} <=$ Threshold	Normal	A



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Migrate 1 quantum of Power per successful request

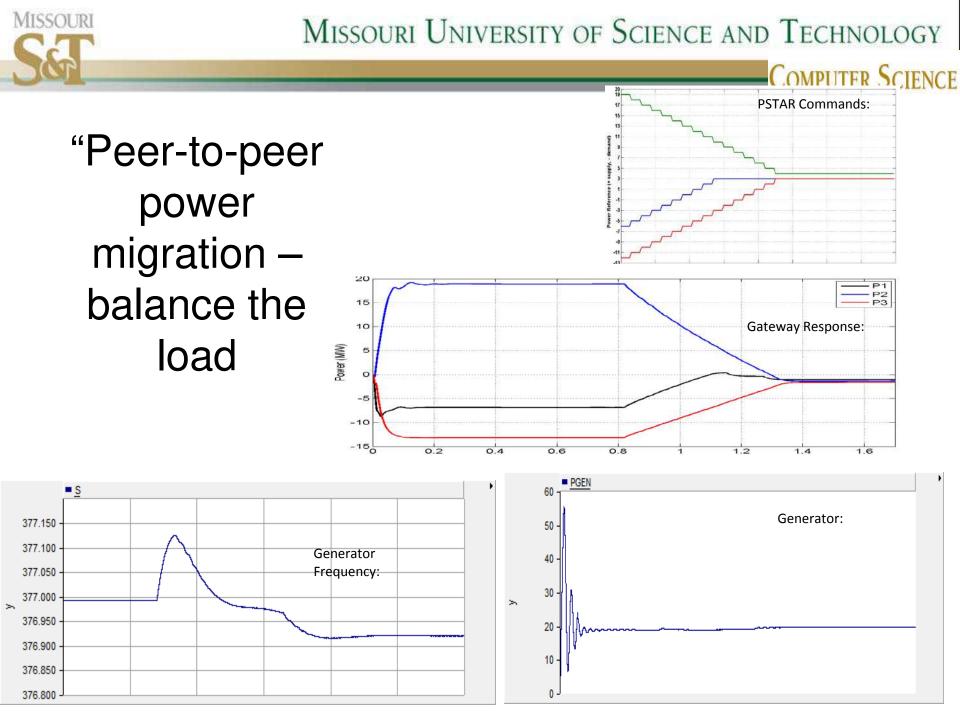
After Load Balancing

IEM 0		
IEM 0	25.551	N
IEM 1		
:		
IEM n		н

IEM 1		
IEM 0		Ν
IEM 1	27.834	Ν
:		
IEM n		N

IEM n		
IEM 0		L
IEM 1		н
:		
IEM n	30.721	Н







Switched System Dynamics

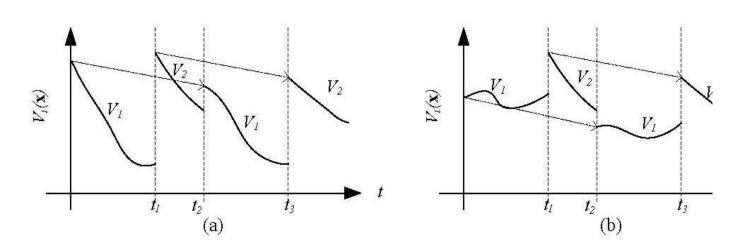


Figure: Asymptotic stability using multiple Lyapunov functions (V_1 and V_2). (a) Two true Lyapunov functions. (b) One Lyapunov function (V_2), one Lyapunov-like function (V_1).

Lyapunov

- $V(\mathbf{x})$ is positive definite, that is, $V(\mathbf{x}) > 0 \quad \forall \mathbf{x} \neq 0, V(0) = 0$.
- \bigcirc $V(\mathbf{x})$ is radially unbounded.
- $\frac{dV}{dt} \leq 0$ along all trajectories $\left(\frac{\partial V}{\partial x}\mathbf{f}(\mathbf{x}) \leq 0\right)$.

If $\frac{dV}{dt}$ is non-positive, the system is stable. If $\frac{dV}{dt}$ is strictly negative, the system is asymptotically stable.

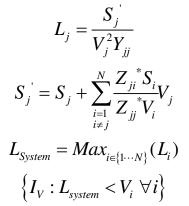


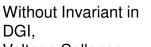
Governing Voltage Invariant

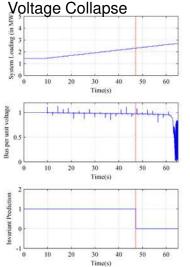
Bus PIL Voltade

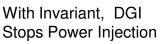
Invariant Prediction

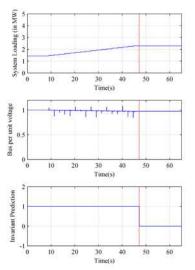
- Measure voltage and power at every bus
- Compute "L" indicator and compare it to voltage
- Prevents voltage oscillations and collapse when embedded as a distributed invariant in load balancing.













Line Invariants

- Compare every DGI migration with available transfer capacity (ATC) based line invariant value
- Prevents overloading when embedded as a distributed invariant in load balancing.

$$P_{mn}^{New} = P_{ij,mn}^{Max} = \frac{P_{ij}^{Max} - P_{ij}^{0}}{PTDF_{ij,mn}}; PTDF_{ij,mn} > 0$$

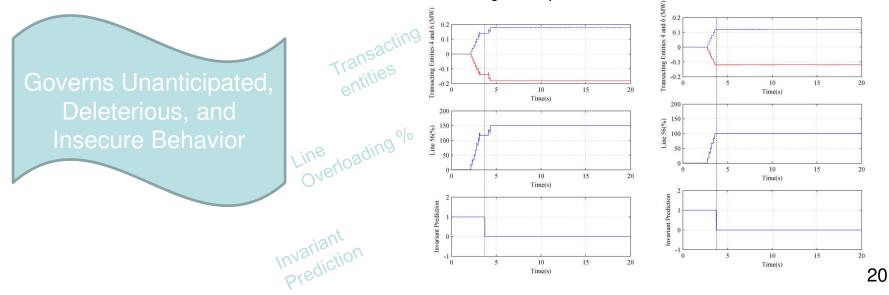
$$P_{mn}^{New} = P_{ij,mn}^{Max} = \infty; PTDF_{ij,mn} = 0$$

$$P_{mn}^{New} = P_{ij,mn}^{Max} = \frac{-P_{ij}^{Max} - P_{ij}^{0}}{PTDF_{ij,mn}}; PTDF_{ij,mn} < 0$$

$$ATC_{mn} = Min(P_{ij,mn}^{Max}) \forall ij$$

Without Invariant in DGI, Voltage Collapse

With Invariant, DGI Stops Power Migration





verifier

select target and verifier DGI

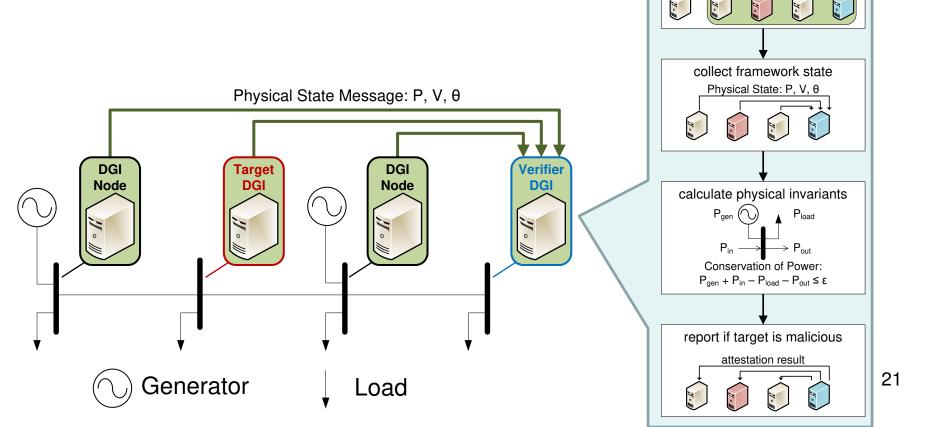
target

generate attestation framework

subset of DGI

Physical Attestation

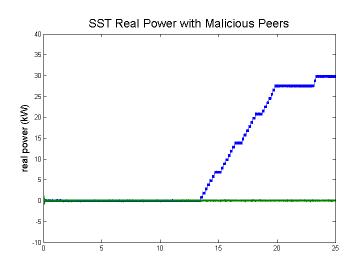
A distributed security mechanism in the DGI that detects malicious peers using physical feedback





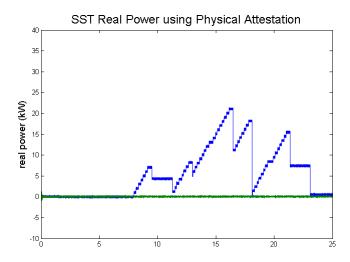
PSCAD/DGI Results for Attestation

• Before Attestation A DGI in the supply state increases its generation despite its malicious peer not doing a corresponding increase in load.



After Attestation

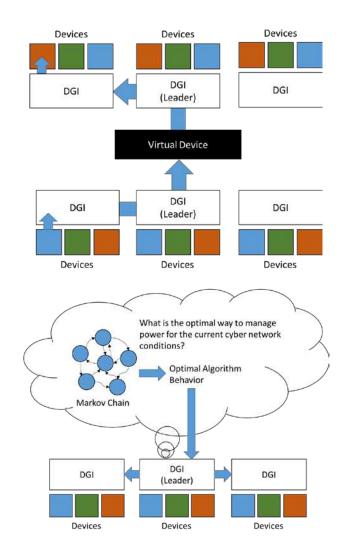
The supply DGI performs attestation and undoes its generation increase when it observes no change in load from the malicious peer.





Federated Groups and Group Models

- Federated groups use a virtual device to transfer power between groups
 - Affords hard real-time within a group and soft real-time across multiple groups
- Markov Model of Group
 Performance
 - Big issue was making the DGI operation memoryless this allows close calibration with the model.
- Adaptive Protocols
 - ECN notification of impending congestion, so reconfigure the groups to require less messaging

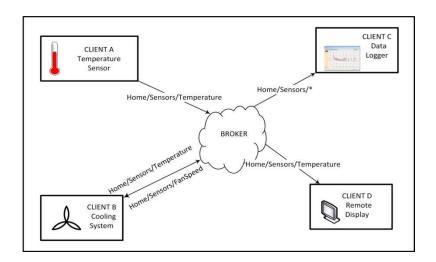


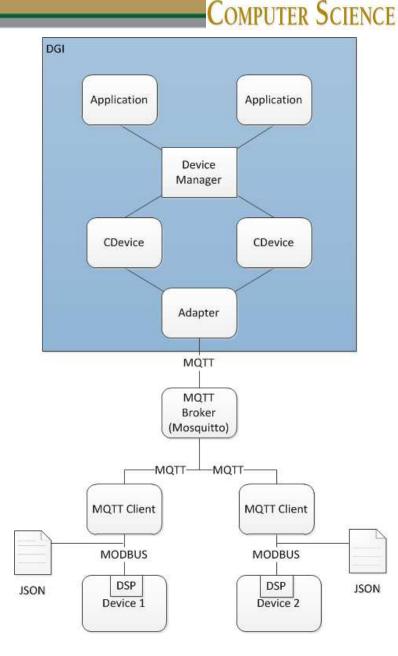


Missouri University of Science and Technology

MQTT Implementation

- Replace DGI's PnP with MQTT (Message Queueing Telemetry Transport)
 - Broker hosted in DGI
 - Device attributes sent to DGI and made available to applications
 - Standardize a device profile

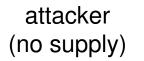


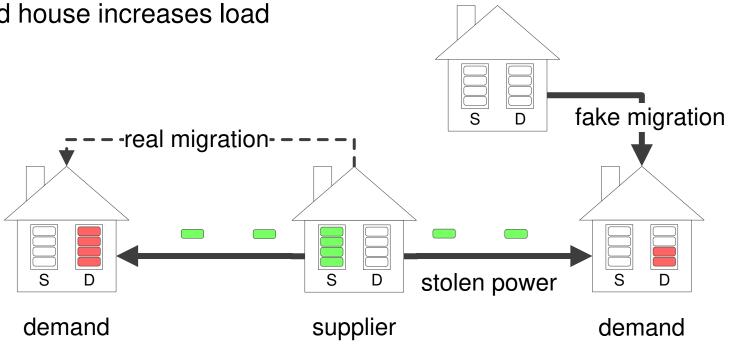


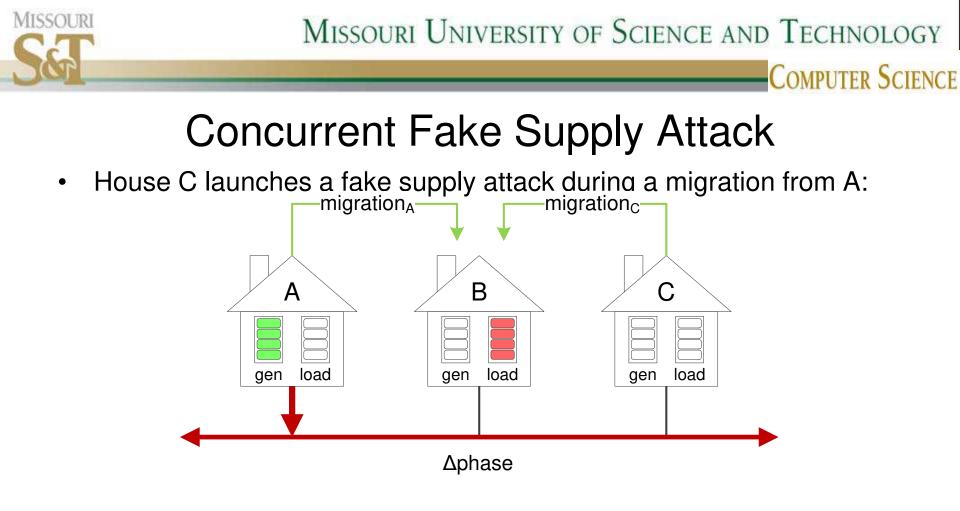


Fake Supply Attack

- 1. Supply house advertises its excess generation
- 2. Demand house requests power from supplier
- 3. Supply house forms a migration contract
- 4. Supply house increases generation
- 5. Demand house increases load







• During the attack, the low-level view of house B is:

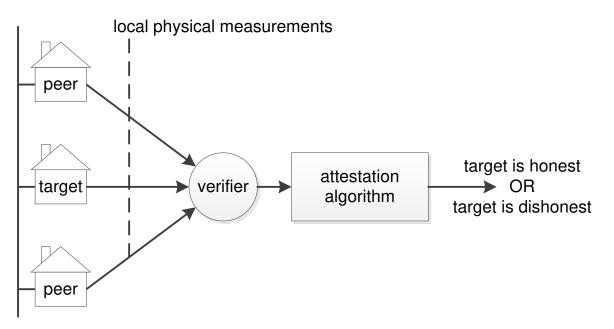


• This view is consistent with either *increase*_A or *increase*_C!



Physical Attestation

• A verifier checks if another cyber process is compromised using physical measurements.



• Similar to a remote attestation algorithm that uses the physical layer as a shared memory.

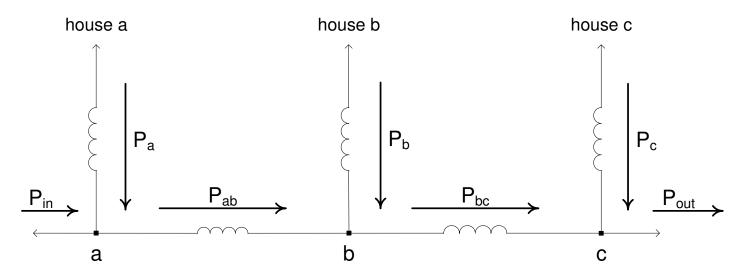


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Conservation of Power

• Conservation of Power at <u>b</u>:

$$\{I_b: P_{ab} + P_b - P_{bc} = 0\}$$

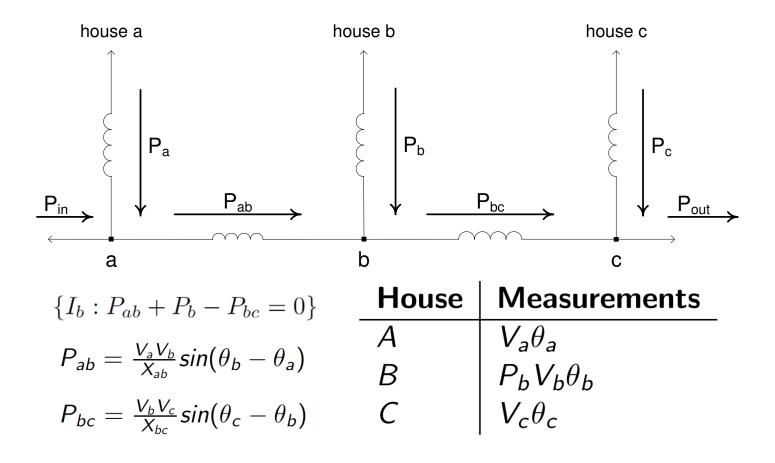


- I_b is an invariant that must be true for the physical system.
- If I_b is violated, then at least one house must be dishonest.



Physical Measurements

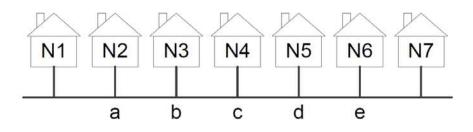
• The invariant is instantiated using measurements from each house:





Unique Violation Pattern

• It requires observations from 7-houses to find a unique violation pattern:

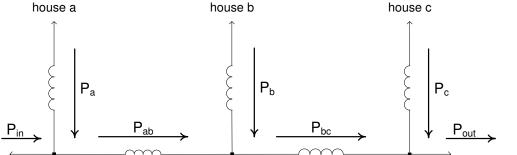


- It is not possible to produce a unique pattern with fewer observations.
- This set of observations can be used to detect when house 4 performs a fake supply attack

Ν	Falsified	Violations
1	$V_1 \theta_1$	l _a
	P_2	l _a
2	$V_2 \theta_2$	$I_a I_b$
	$P_2V_2\theta_2$	I _b
	P_3	I _b
3	$V_3\theta_3$	$I_a I_b I_c$
	$P_3V_3\theta_3$	$I_a I_c$
	P_4	I_c
4	$V_4 heta_4$	$I_b I_c I_d$
	$P_4V_4 heta_4$	$I_b I_d$
	P_5	I_d
5	$V_5 \theta_5$	$I_c I_d I_e$
	$P_5V_5\theta_5$	$I_c I_e$
	P_6	I_e
6	$V_6 heta_6$	$I_d I_e$
	$P_6V_6\theta_6$	I _d
7	$V_7 \theta_7$	I_e



Detecting the Compromised Node Assume *b* is malicious and the other two houses are honest.



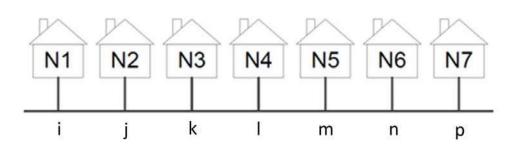
• A set of invariants are violated when b falsifies its values:

Falsified Values	Violated Invariants	
P_b	Ib	
$V_b \theta_b$	$I_a I_b I_c$	
$P_b V_b \theta_b$	$I_a I_c$	

• The dishonest house is the midpoint of each violation set.



MSDND in Attestation



Pattern	Node	Falsified Values	Violated Invariants
ψ_1	j	P_i	I_i
ψ_2	j	$\dot{V}_i \theta_i$	$I_i I_j I_k$
ψ_3	j	$P_i V_i \theta_i$	I_k
κ_1	k	P_k	I_k
κ_2	k	$V_k \theta_k$	$I_{j}I_{k}I_{\ell}$
κ_3	k	$P_k V_k \theta_k$	$I_{j}I_{\ell}$

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Node *k* is malicious.

If node *k* reports false values for P_k , the invariant I_k will be violated which corresponds to $\kappa_1 = true$. However, the same pattern of violations occurs when node *j* lies about the values $P_j V_j \theta_j$ which is $\psi_3 = true$. Thus, we can show MSDND(ES) as follows:

- 1. $\psi_3 \operatorname{xor} \kappa_1$ there is only one malicious node
- 2. $\nexists \mathbb{V}_{P_k}$ no one but *k* can read P_k
- 3. $\therefore \not \supseteq \mathbb{V}_{\psi_3}(w)$ privacy
- 4. $\therefore \not \supseteq \mathbb{V}_{\kappa_1}(w)$ similar reasoning.

Therefore, an intelligent node *j* can launch at least one attack that is MSDND(ES):

 $w \vdash [(\kappa_1 \operatorname{xor} \psi_3)] \land w \models [(\nexists \Vdash_{\kappa_1} (w)) \land (\nexists \vdash_{\psi_3} (w))].$

Fundamental Barriers and How Addressed

Systems
 Integration

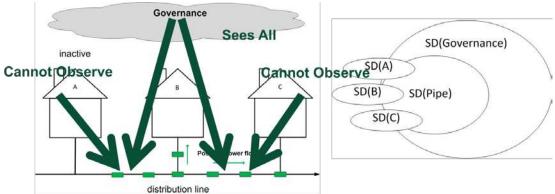
 Transition to Testbeds

Determine and Mitigate Interfering actions **Power Engineers** coding their applications directly in DGI for LSSS/HIL/GEH



Associated Work within DGI

- HIL Implementation (Steurer, Leonard)
- Additional NSF Grant from CPS Program (Kimball, McMillin, Chow) for Invariant Development
- NIST Funding (McMillin) to extend the FREEDM security concepts to the openFMB SGIP/CPS PWG and other infrastructures
- **NSF SFS** Program to train cybersecurity researchers for government service.
- Protection System Development and future integration with DGI (Karady)





Read more about it

- Tamal Paul, Jonathan W. Kimball, Maciej Zawodniok, Thomas P. Roth and Bruce McMillin, "Invariants as a Unified Knowledge Model for Cyber-Physical Systems," IEEE Trans on Smart Grid, January, 2014
- Information Flow and Verification: R. Akella, H. Tang, and B. McMillin, "Analysis of information flow security in cyber-physical systems," *International Journal of Critical Infrastructure Protection, vol. 3-4, pp. 157–173,* December 2010.
- T. Roth; B. McMillin, "Physical Attestation in the Smart Grid for Distributed State Verification," in *IEEE Transactions on Dependable and Secure Computing*, vol.PP, no.99, pp.1-1 (2016) Gamage, Thoshitha, Roth, Thomas, McMillin, Bruce, and Crow, Mariesa, "Mitigating Event Confidentiality Violations in Smart Grids: An Information Flow Security-based Approach," *IEEE Transactions on Smart Grid*, *2013*
- G. Howser and B. McMillin, "A Modal Model of Stuxnet Attacks on Cyber-physical Systems: A Matter of Trust," *Software Security and Reliability (SERE), 2014 Eighth International Conference on*, San Francisco, CA, 2014, pp. 225-234.
- Marina Krotofil, Jason Larsen, and Dieter Gollmann. 2015. The Process Matters: Ensuring Data Veracity in Cyber-Physical Systems. In *Proceedings of the 10th ACM Symposium on Information, Computer and Communications Security* (ASIA CCS '15). ACM, New York, NY, USA, 133-144.
- A funny podcast on the subject, 16360: The Cybersecurity Episode
 <u>http://managefeed.djaghe.com/</u> (2016)

FREESENTER

Volt-Var Control (VVC) on FREEDM Systems

Mesut Baran NC State University



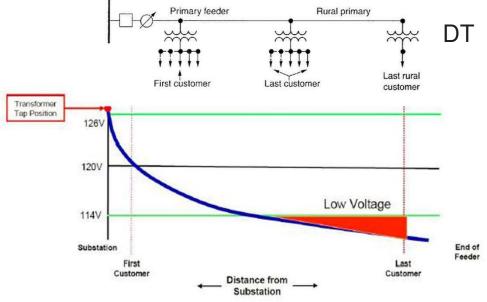


Objectives of VVC



• Primary goal

To maintain the voltages along the distribution feeder within an appropriate range under all operating conditions.

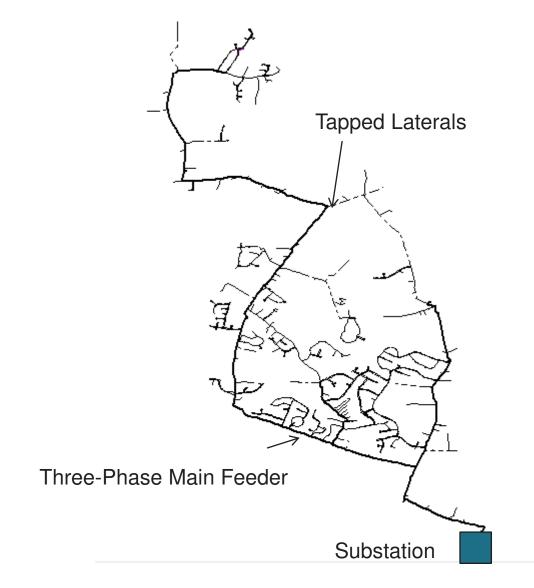


• Secondary goal

To reduce power loss and energy loss







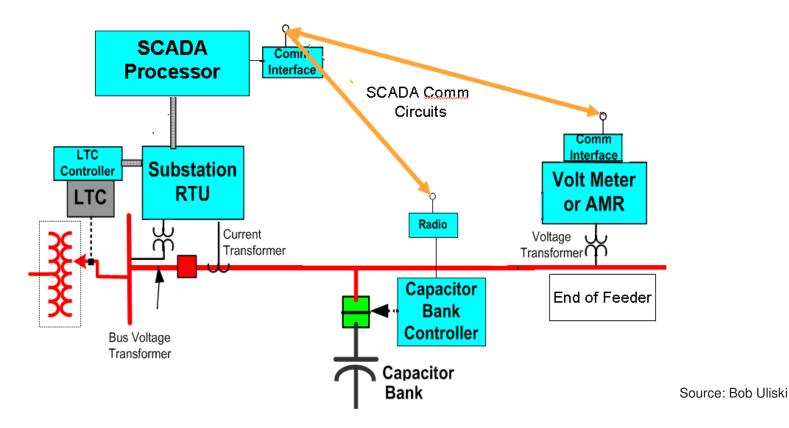
- No of service: 600-1200 DT
- Total load
 4-6 MW peak (12 kV)



Conventional VVC



Centralized SCADA based control



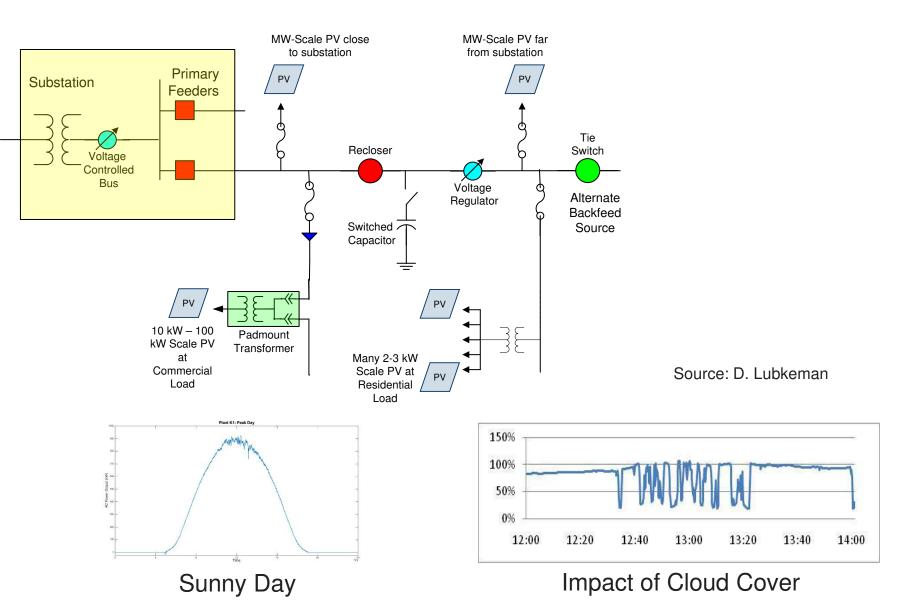
- VVC employs simple rules on conventional system
- VVC needs more complex algorithms when DER penetration is high

Impact of PV on Voltage

⊢

SYSTEMS CENTER



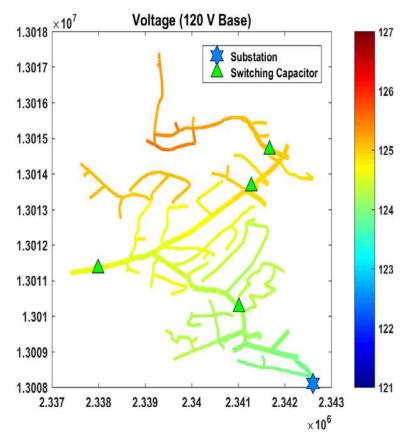


FREEDAA Systems center



- Light Loading Condition
 - Load: 2.8 MW
 - PV: 6.7 MW
- Simulation Results

Top of the Feeder	-3.9 MW
High Customer Voltage	126.7 V
Low Customer Voltage	123.9 V
Losses	97 kW



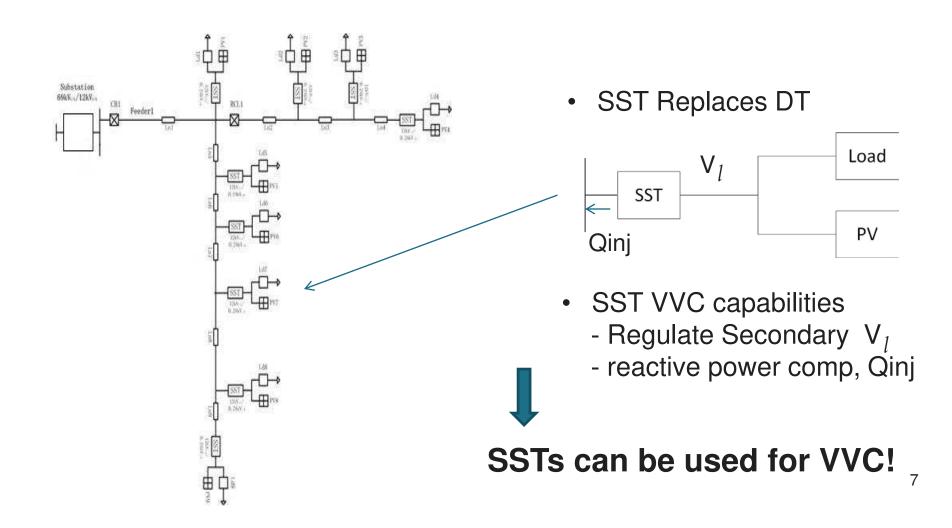
PV is back feeding into the grid. A little bit increase in losses. Source: D. Lubkeman Overvoltage issues!



FREEDM System



• Feeder with high PV penetration



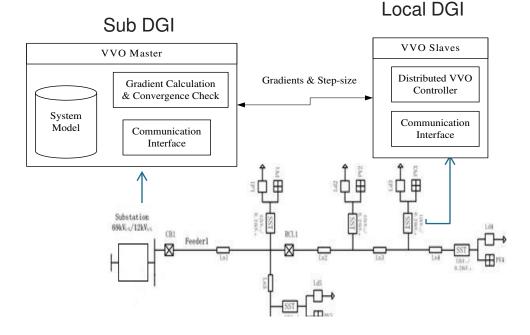
FREEDecentralized VVC on FREEDMSYSTEMS CENTERSystems



• VVC goal: minimize power loss while keeping voltages within limits

VVC problem : $min P_{loss}(x)$ s.t. • power flow: $g(x, Q_{SST}) = 0$ • volt limits: $V^{min} \le V \le V^{max}$ • Qsst limits: $Q_{SST}^{min} \le Q_{SST} \le Q_{SST}^{max}$

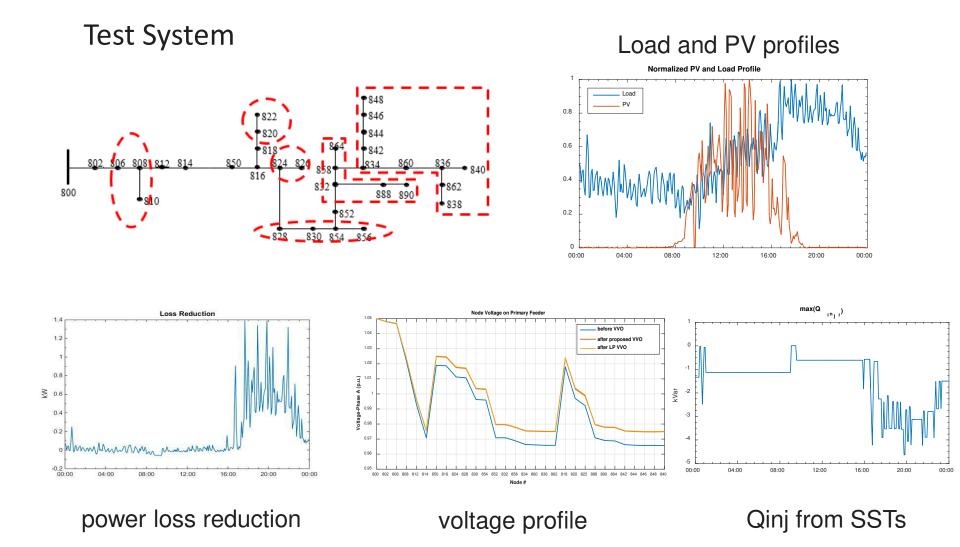
- Decentralized Scheme
 - Master Slave scheme
 - Gradient based method



FREEMS CENTER

Case Study







Collaborative Distributed Control with Applications on Smart Micro-Grid Energy Management

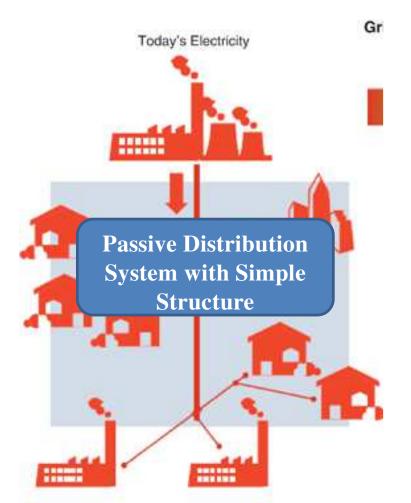
Mo-Yuen Chow, Ph.D.

Advanced Diagnosis, Automation, and Control (ADAC) Laboratory Department of Electrical and Computer Engineering North Carolina State University Raleigh, North Carolina USA

The Grid is Changing...



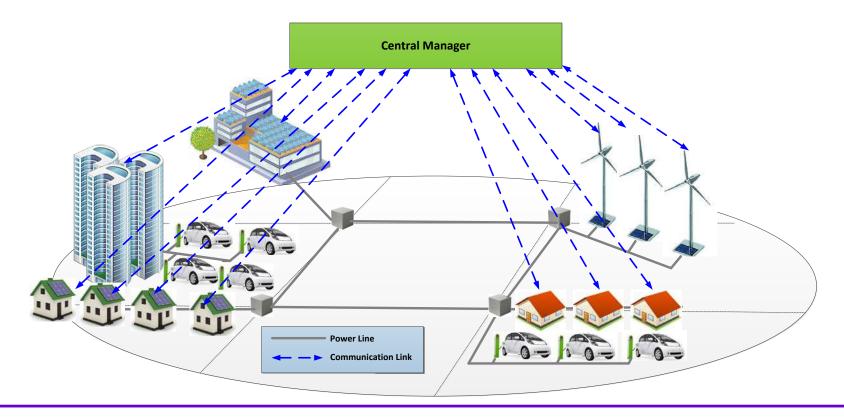
In recent years the grid is changing....



Inter-connected controllable energy devices increase from thousands to millions

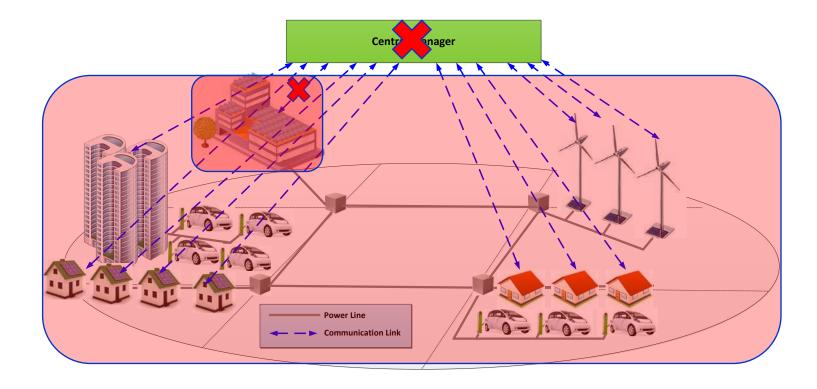


- All units send their information regarding their demand, generation, preferences, and specifications to a central manager.
- The central manager uses the information to coordinate the resources and make optimal decisions about each unit.



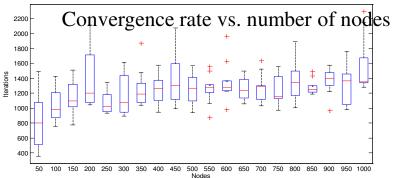


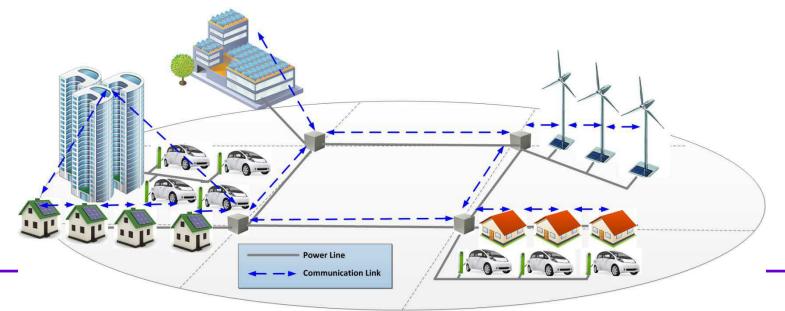
- > Not Scalable
- > Vulnerability to central point of failure
- > Vulnerability to communication link failures
- > Global communication requirement





- Each unit in the system exchanges information with its neighbors, makes local decisions, and iteratively updates its decisions.
 200 Convergence_rate vs. number of
- > Advantages:
 - ➤ Scalable
 - ≻ Robust to central point of failure
 - ► Robust to communication failures
 - ➢ Requires only local communication capability





Intermittence and Uncertainties

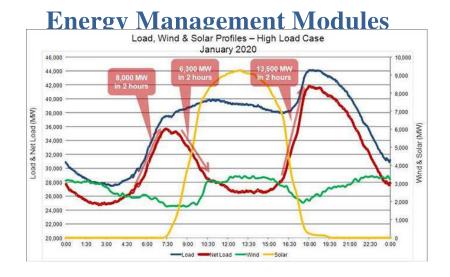


- Energy Providers
 - Distributed generation
 - Renewable resources are geographically dispersed
 - > Weather/time dependent
 - Steep ramp up/ramp down rate
 - > Intermittency
 - Frequency regulation and load balancing

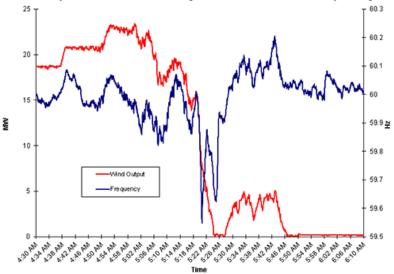
≻ …

▶ ...

- Customers
 - Load profile
 - > Utility customer billing
 - > Reverse Power flow



Example of wind variability and the effect on frequency

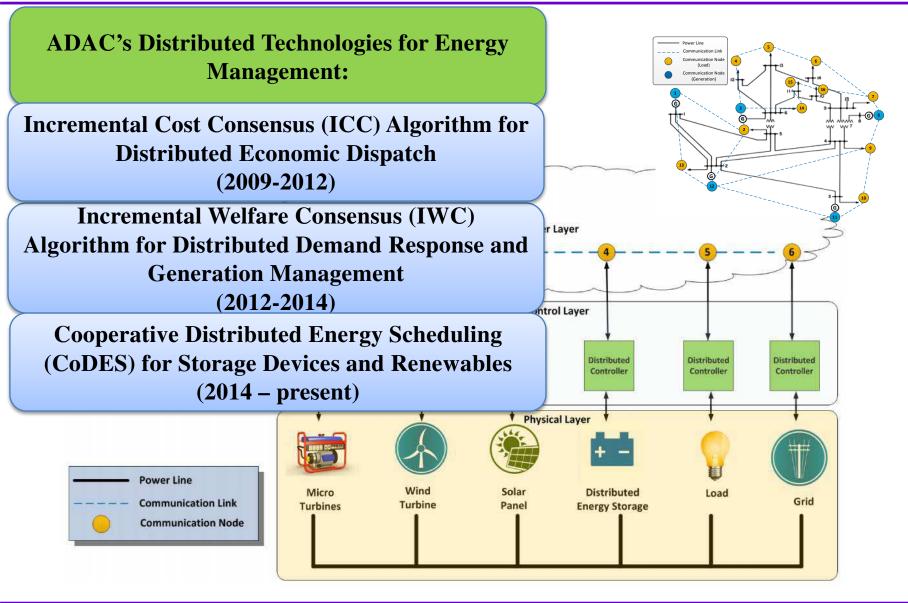


http://olivineinc.com/2013/03/21/caisocpuc-ltra-summit/

http://www.mauielectric.com/meco/Clean-Energy/Latest-Clean-Energy-News/Understanding-Renewable-Energy-and-Wind-Energy-Integration

Cooperative Distributed Energy Management



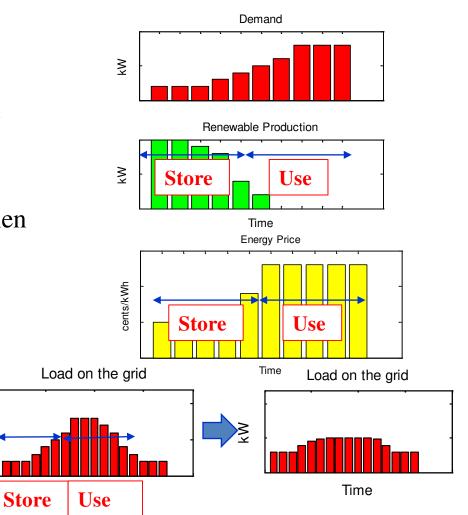




Why scheduling for storage devices is important ?

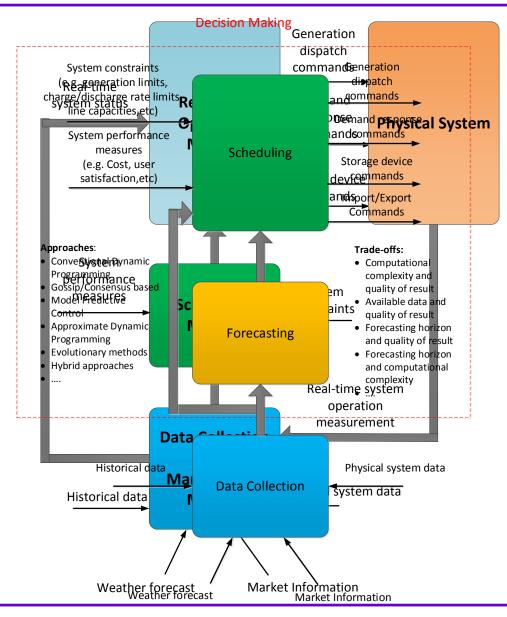


- Improves dispatchability of renewables: Store the renewable energy in time of production and use it in time of need.
- Reduce power bill: Store energy when it is cheap and use it when it is expensive.
- ➤ Reduce the peak demand on the grid: store the energy during off-peak hours and use it during on [≥] peak hours



Cooperative Distributed Energy Scheduling Framework





Cooperative Distributed Energy Scheduling (CoDES) Algorithm



Objective: Schedule energy generation, and energy storage in a distributed way from now to future to optimize the specified performance metrics.

Power Line

$$\min_{\{P_i(k):k=1,\ldots,T,i\in G_d\cup D_d\}} \left(J = \sum_{k=1}^T \gamma^{k-1} C(k)\right)$$

T : Horizon of scheduling

C(k): Cost at time step k (Generation Cost, Power Loss, etc.)

 $0 \le \gamma \le 1$: Discount factor for future performance

Constraints:

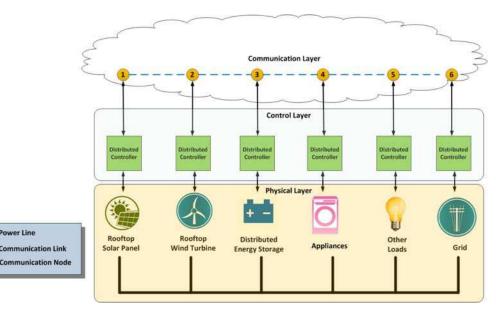
1) Power Balance Constraint

$$\forall k = 1, \dots, T : \sum_{i \in G_d \cup G_{nd}} P_i(k) = \sum_{i \in D_d \cup D_{nd}} P_i(k) + P_{loss}$$

2) Power Rating Constraint

 $\forall k = 1, ..., T, \forall i \in G_d \cup D_d$: $P_{i\min} \leq P_i(k) \leq P_{i\max}$

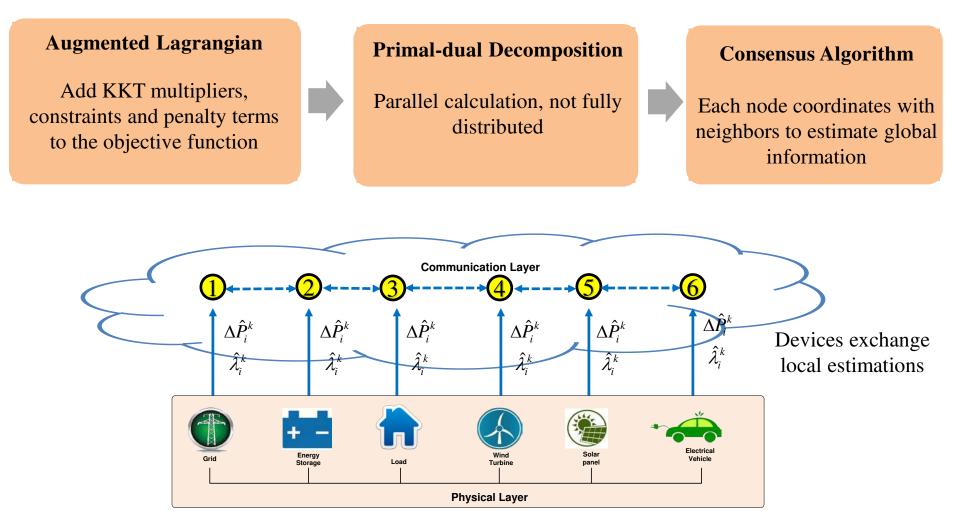
3) Energy Constraint (for Storage Devices) $\forall i \in B, t \in \{1, ..., T\}$: $Cap_i(1-SoC_{i0}) \leq \sum_{i=1}^{t} P_i(k)\Delta t \leq Cap_iSoC_{i0}$



D _d	Set of indices of dispatchable demand units
D _{nd}	Set of indices of non-dispatchable demand units
G_d	Set of indices of dispatchable generation units
G _{nd}	Set of indices of non-dispatchable generation units
В	Set of indices of storage devices $(B \subseteq G_d)$
$SoC_i(k)$	State of charge of the storage device with index i at time step k
Cap _i	Capacity of the storage device with index i (kWh)
Δt	Length of scheduling time step

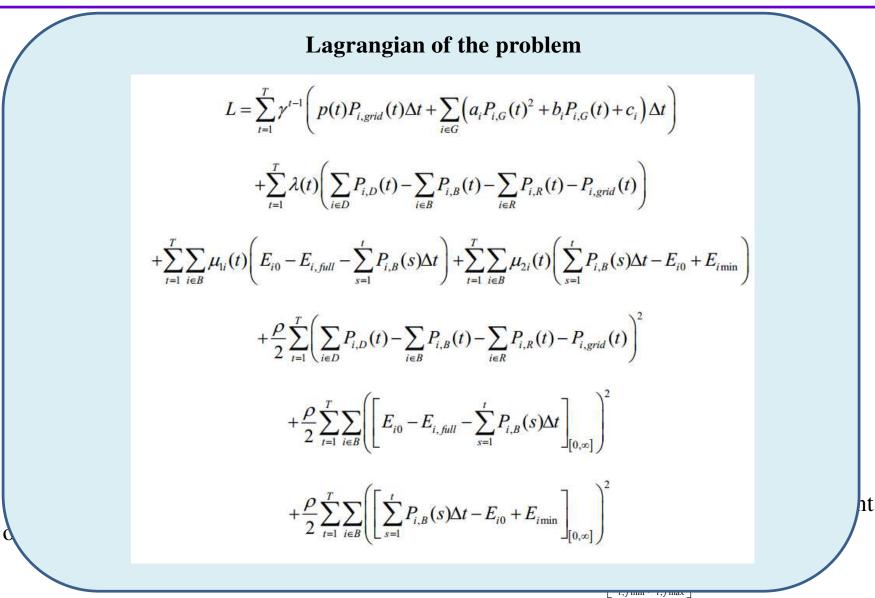
N. Rahbari-Asr, Y. Zhang and M. Y. Chow, "Consensus-based distributed scheduling for cooperative operation of distributed energy resources and storage devices in smart grids," in IET Generation, Transmission & Distribution, vol. 10, no. 5, pp. 1268-1277, 2016.



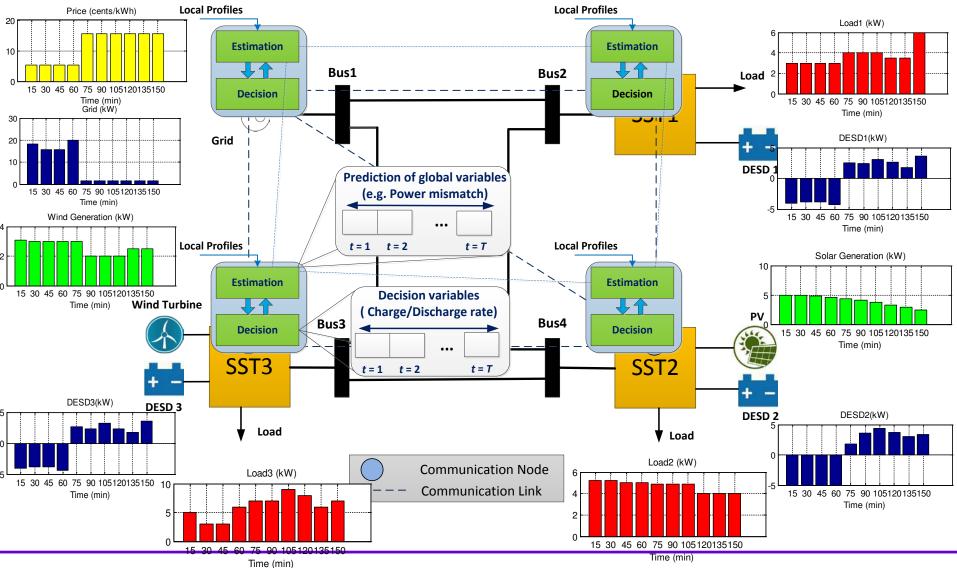


N. Rahbari-Asr and M. Y. Chow, "Incremental Welfare Consensus Algorithm for Cooperative Distributed Generation/Demand Response in Smart Grid," in *IEEE Transactions on Industrial Informatics*, vol. 10, no. 3, pp. 1907-1916, Aug. 2014.





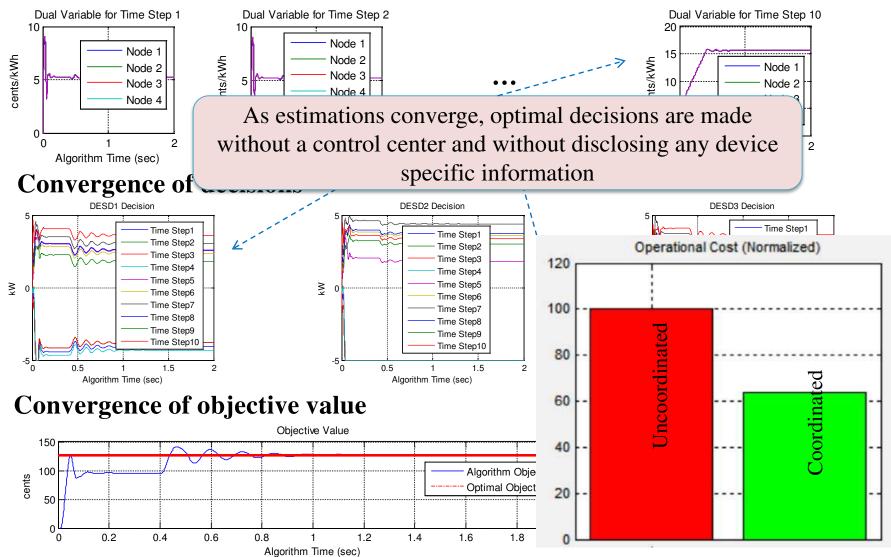








Convergence of estimations (global variable estimations)



N. Rahbari-Asr, Y. Zhang and M. Y. Chow, "Cooperative distributed scheduling for storage devices in microgrids using dynamic KKT multipliers and consensus networks," 2015 IEEE Power and Energy Society General Meeting, July 26-30, 2015, Denver, CO, USA.

Selected Recent Related Publications and Patents



Recent CoDES related papers (since 2015)

[B1] W. Zeng and M.Y. Chow, "Resilient Distributed Control in Cyber-Physical Energy Systems," *Cyber Security for Industrial Control Systems: From the Viewpoint of Close-Loop*, CRC Press, 2016

[J1] Jie Duan, Wente Zeng and Mo-Yuen. Chow, "Resilient Distributed DC Optimal Power Flow Against Data Integrity Attack", *in IEEE Transaction on Smart Grid*, under 2nd review

[J2] Wente Zeng; Yuan Zhang and Mo-Yuen Chow, "Resilient Distributed Energy Management Subject to Unexpected Misbehaving Generation Units," *in IEEE Transactions on Industrial Informatics*, 2016, in press.

[J3] Y. Zhang, N. Rahbari-Asr, J. Duan and M. Y. Chow, "Day-Ahead Smart Grid Cooperative Distributed Energy Scheduling With Renewable and Storage Integration," *in IEEE Transactions on Sustainable Energy*, vol. 7, no. 4, pp. 1739-1748, Oct. 2016.

[J4] N. Rahbari-Asr, Y. Zhang and M. Y. Chow, "Consensus-based distributed scheduling for cooperative operation of distributed energy resources and storage devices in smart grids," *in IET Generation, Transmission & Distribution*, vol. 10, no. 5, pp. 1268-1277, 2016.

[J5] Yuan Zhang, Navid Rahbari-Asr, and Mo-Yuen Chow, "A Robust Distributed System Incremental Cost Estimation Algorithm for Smart Grid Economic Dispatch with Communications Information Losses", *Journal of Network and Control Applications*, 2015

[C1] J. Duan; W. Zeng and M.Y. Chow, "Attack Detection and Mitigation for Resilient Distributed DC Optimal Power Flow Algorithm in the IoT Environment," *in proceedings of 2016 IEEE International Symposium on Industrial Electronics (ISIE)*.

[C2] J. Duan; W. Zeng and M.Y. Chow, "An Attack-Resilient Distributed DC Optimal Power Flow Algorithm via Neighborhood Monitoring," in *proceedings of 2016 IEEE Power & Energy Society General Meeting*.

[C3] J. Duan; W. Zeng and M.Y. Chow, "Economic impact of data integrity attacks on distributed DC optimal power flow algorithm," *in North American Power Symposium (NAPS)*, 2015, vol., no., pp.1-7, 4-6 Oct. 2015.

[C4] W. Zeng, Y. Zhang and M.Y. Chow, "A resilient distributed energy management algorithm for economic dispatch in the presence of misbehaving generation units," *Resilience Week (RWS)*, 2015, Philadelphia, PA, 2015, pp. 1-5.

[C5] Y. Zhang, N. Rahbari-Asr, and M.Y. Chow, "Online Convergence Factor Tuning for Robust Cooperative Distributed Economic Dispatch", in *proceedings of 2015 IEEE Power and Energy Society General Meeting*, vol., no., pp.1-5, 26-30 July 2015, Denver, CO, USA.

[C6] N. Rahbari-Asr, Y. Zhang , and M.Y. Chow, "Cooperative Distributed Scheduling for Storage Devices in Microgrids using Dynamic KKT Multipliers and Consensus Networks", in *proceedings of 2015 IEEE Power and Energy Society General Meeting*, July 26-30, 2015, Denver, CO, USA.



